

**KRA & ASSOCIATES  
PRACTICING COMPANY SECRETARIES**

PARTNERS

**RS R.KANNAN**

**RS AISHWARYA**

**SRI SANKARA GURUKRIPA ILLAM**

Regd OFF. : No. 6A, 10th Street,  
New Colony, Adambakkam,  
Chennai - 600 088

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**SECRETARIAL COMPLIANCE REPORT of TUTICORIN AKALI CHEMICALS AND FERTILIZERS LIMITED FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

We have examined:

- a) All the documents and records made available to us and explanation provided by TUTICORIN ALKALI CHEMICALS AND FERTILIZERS LIMITED (“the Listed Entity”)
- b) The filings/submissions made by the listed entity to the Stock Exchanges,
- c) Website of the listed entity,

Any other document/filing, as may be relevant, which has been relied upon to make this certification. for the year ended 31<sup>st</sup> March 2022 in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”) and the Rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018
- c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- e) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;

And based on the above examination, we hereby report that, during the Period under review:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder as on 31.03.2022:

Sr. No.	Compliance Requirement (Regulations/circulars /guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1.	SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015	Delay in furnishing prior intimation about the meeting of the board of directors for the meeting held on June 28, 2021	Nil

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines

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issued thereunder in so far as it appears from my examination of those records.

(c) The following actions were taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ guidelines issued thereunder.

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations / Remarks of the Practicing Company Secretary
1.	BSE	Fine under regulation 29 of SEBI(Listing Obligations and Disclosure Requirements) Regulations, 2015	Fine of Rs 11,800	The company has applied for waiver and the same has been granted.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations made in the previous Secretarial Compliance report	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	During the year, the Company failed to maintain public shareholding at prescribed amount. However, through OFS by promoters, it complied with the same by end of year.	Rectified	NIL

Date: 30.05.2022

Place: Chennai

FOR KRA & ASSOCIATES

RAJAGOPA  
L KANNAN

R. KANNAN

Partner

CP. NO. 3363

UDIN: F006718D000431868

Peer Review No.1847/2022